### EXPRESS MAIL LABEL NO. EV 398572737 US

PTO/SB/08A (04-03)
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Substitute for form 1449/PTO		Complete if Known		
		Application Number	Not Known Yet 10/810,385	
INFORM	ATION DISCLOSURE	Filing Date	03/26/2004	
STATEMENT BY APPLICANT (Use as many sheets as necessary)		First Named Inventor	Ming Lu	
		Art Unit	Not Known Yet 1756	
		Examiner Name	Not Known Yet Ruggles	
Shoot 1	of 5	Attorney Docket Number	N1085-00222	

				DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (N known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear
BR	AA	US- 4,340,654	07-20-1982	Campi	
Ī	AB	<sup>US-</sup> 4,609,556	09-02-1986	Goedert	
	AC	US- 4,778,693	10-18-1988	Drozdowicz et al.	
	AD	<sup>US-</sup> 4,906,326	03-06-1990	Amemiya et al.	
	ΑE	<sup>US-</sup> 4,968,390	11-06-1990	Bard et al.	
	AF	<sup>US-</sup> 5,018,212	05-21-1991	Manns et al.	
	AG	<sup>US-</sup> 5,035,787	07-30-1991	Parker et al.	
	AH	US- 5,194,344	03-16-1993	Cathey, Jr. et al.	
	Al	US- 5,194,345	03-16-1993	Rolfson	
	AJ	<sup>US-</sup> 5,208,125	05-04-1993	Lowrey et al.	
	AK	<sup>US-</sup> 5,348,826	09-20-1994	Dao et al.	
	AL	<sup>US-</sup> 5,384,219	01-24-1995	Dao et al.	
	AM	US- 5,405,721	04-11-1995	Pierrat	
	AN	US- 5,429,730	07-04-1995	Nakamura et al.	
	AO	US- 5,440,426	08-08-1995	Sandstrom	
	AP	US- 5,460,908	10-24-1995	Reinberg	
l l	AQ	<sup>US-</sup> 5,569,392	10-29-1996	Miyoshi et al.	
1	AR	<sup>US-</sup> 5,582,939	12-10-1996	Pierrat	
JSR.	AS	<sup>US-</sup> 5,615,954	04-01-1997	Nishizawa et al.	

		FORE	<b>IGN PATENT DOCU</b>			
xaminer nitials*	Cita No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
		Country Code <sup>2</sup> Number <sup>4</sup> Road Code <sup>8</sup> (# known)	MM-DD-YYYY		Of Relevant Figures Appear	٢
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Date 14/06 Signature Considered

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Substitute for form 1449/PTO

Sheet 2

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

_	Cor	mplete if Known
	Application Number	Not Known Yet 10/810,385
	Filing Date	03/26/2004
	First Named Inventor	Ming Lu et al.
	Art Unit	Not Known Yet 1756
	Examiner Name	Not Known Yet Ruggles
	Attorney Docket Number	N1085-00222

	U. S. PAITENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (F known)</sup>	Publication (Jate MM-DD-YY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
JSR	AT	<sup>US-</sup> 5,618,760	04-08-1997	Soh et al.				
	AU	US- 5,623,365	04-22-1997	Kuba				
	AV	US- 5,667,919 20	09-16-1997	Tu et al.				
	AW	<sup>US-</sup> 5,786,114	07-28-199	Hashimoto				
-1	AX	<sup>US-</sup> 5,795,685	08-18-1998	Liebmann et al.				
	AY	<sup>US-</sup> 5,798,193	08-25-199 <sup>8</sup>	Pierrat et al.				
	AZ	<sup>US-</sup> 5,853,923	12-29-1998	Tzu				
	BA	US- 5,881,125	03-09-1999	Dao				
	BB	<sup>US-</sup> 5,948,468	09-07-1999	Sweatt et al.				
	ВС	US- 5,955,222	09-21-1999	Hibbs et al.				
	BD	US- 5,959,325	09-28-1999	Adair et al.				
	BE	<sup>US-</sup> 5,965,303	10-12-1999	Huang				
	BF	<sup>US-</sup> 6,030,731	02-29-2000	Yang				
	BG	US- 6,037,087	03-14-2000	Pierrat et al.				
	ВН	US- 6,042,738	03-28-2000	Casey, Jr. et al.				
	ВІ	us- 6,096,459 🦸	08-1-2000	Yang				
	BJ	<sup>US-</sup> 6,103,430	08-15-2000	Yang				
	вк	<sup>US-</sup> 6,106,980	08-22-2000	Pierrat et al.				
TSR	BL	us- 6,114,073	09-05-2000 \	Yang				

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Examiner Initials*	Gite No.1	Foreign Patent Document  Country Code* Number** God Code* (# known)		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevent Passages Of Relevant Figures Appear	78
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Examiner Signature	1 ()	h Reglo		}	Date Considered	8/14/06	

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Complete if Known Substitute for form 1449/PTO **Application Number** Not Known-Yet 10/810,385 Filing Date 03/26/2004 INFORMATION DISCLOSURE First Named Inventor Ming Lu et al. STATEMENT BY APPLICANT Art Unit Not Known Yet (Use as many sheets as necessary) Examiner Name Net Known Yet N1085-00222 Sheet 3 **Attorney Docket Number** 

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	534	Number-Kind Code <sup>2</sup> (f known)		<del></del>	Figures Appear
JSR	ВМ	US- 6,197,455	03-06-2001	Yedur et al.	
	BN	<sup>US-</sup> 6,262,791 ₫⇔	07-17-2001	Powell	
	ВО	US- 6,277,526	08-21-2001	Yang	
	BP	US- 6,291,115	09-18-2001	Yang	
•	BQ	US- 6,292,297	09-18-2001	Danziger et al.	
	BR	US- 6,304,316	10-16-2001	Jain et al.	
	BS	US- 6,322,935	11-27-2001	Smith	
	ВТ	US- 6,335,129	01-01-2002	Asano et al.	
	BU	US- 6,346,352	02-12-2002	Hayden et al.	
	BV	US- 6,361,904	03-26-2002	Chiu	
	BW	US- 6,366,404	04-02-2002	Hiraiwa et al.	
	ВХ	<sup>US-</sup> 6,369,948	04-09-2002	Danziger et al.	
	BY	<sup>US-</sup> 6,373,976	04-16-2002	Pierrat et al.	
	BZ	US- 6,391,217	05-21-2002	Schaffer et al.	
	CA	us-6,440,615	08-27-2002	Shimizu	
	СВ	us- 6,447,962 🦈	09-10-2002	Yang	
	CC	us- 6,462,874	10-08-2002	Soskind	
	CD	<sup>US-</sup> 6,480,263	11-12-2002	Smith	
JSR	CE	<sup>US-</sup> 6,506,525	01-14-2003	Choi et al.	

		<b>OREIGN PATENT DOCU</b>	MENIO		
No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Linee, Where Relevant Passages Or Relevant Figures Appear	۲
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	No.	No.	No. Date	No. Date Applicant of Cited Document	No. Date Applicant of Cited Document Where Relevant Passages  MM-DD-YYYY  Or Relevant Figures Appear

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Substitute for form 1449/PTO	Co	Complete if Known				
	Application Number	Not Known Yet 19810885				
INFORMATION DISCLOSUR	Filing Date	03/26/2004				
	riisi Nameo inventor	Ming Lu				
STATEMENT BY APPLICAN	Art Unit	Not Known Yet 1756				
(Use as many sheets as necessary)	Examiner Name	Not Known Yet Ruggles				
Sheet 4 of 5	Attorney Docket Number	N1085-00222				

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (F known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JSR	CF	us- 6,524,756 58	02-25-2003	Wu	
TER	CG	US- 6,534,221 59	03-18-2003	Lee et al.	
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Cite Foreign Patent Document  No. Country Code <sup>1</sup> Number <sup>4</sup> 'Xind Code <sup>5</sup> (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
			•
	No.	No. Date	No. Date Applicant of Cited Document

Examiner Signature

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PTO/SB/08B (04-03)
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Patent and Trademork Office: U.S. DEPARTMENT OF COMMENDA

Substitute for form 1449/PTO	re required to respond to a collection of Information unless it contains a valid OMB control number Complete if Known		
3253220131131117731175	Application Number	Not Known Yet 10/810, 385	
INFORMATION DISCLOSURE	Filing Date	3/26/2004	
STATEMENT BY APPLICANT	First Named Inventor	Ming Lu	
(Use as many sheets as necessary)	Art Unit	Not Known Yet 1756	
(usa as many should as nacosally)	Examiner Name	Not Yet Assigned Rugglas	
Sheet 5 of 5	Attorney Docket Number	N1085-00222	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	-2
JSR	сн	Lalanne, Philippe et al, "The optical properties of artificial media structured at a Sept. 2003) subwavelength scale", Floating Images Ltd., Hampton, TW12 3JU, United Kingdom	
	СІ	Ohlidal, I. et al., "Matrix Formalism For Imperfect Thin Films", Acta Physica Slovaca, Vol. 50, No. 4, Pages 489-500, August 2000	
JSR	C1	Richter, Ivan et al., "Design considerations of form birefringent microstructures", Applied Optics, Vol. 34, No. 14, Pages 2421-2429, May 10, 1995	
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Examiner Signature	(),	Date Considered 8/14/06	

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